



TÜV SÜD BAPT Certification Service (UKAS / DANAK Accredited)

Scope of Services

TÜV SÜD BAPT manage the following Certification schemes, each of which has an application form and an implementation document to explain the scheme specific requirements. These are available on request using the following [request form](#) (link). For NDT and Permanent Joining Personnel schemes, these are publicly available below. Schemes with accreditation by DANAK are managed by TÜV SÜD BAPT on behalf of TÜV SÜD Denmark ApS, hence the Testing, Certification, Validation and Verification Regulations for TÜV SÜD BAPT are also applicable. [Testing, Certification, Validation and Verification Regulations](#)

Accredited Schemes – UK (implemented as NB for NI where indicated *)

(Scopes covered available on request)

Scheme title		Assessment Procedure	Legal Instrument	Accreditation By:
Radio Equipment Regulation (Radio Equipment Directive*)	Schedule 3 Module B	Type Examination	Radio Equipment Regulations 2017 as amended	UKAS
	Schedule 4 Module H	Full Quality Assurance (FQA)		
Electromagnetic Compatibility Regulation (Electromagnetic Compatibility Directive*)	Schedule 3, Module B	Type Examination	Electromagnetic Compatibility Regulations 2016 as amended	UKAS
Marine Equipment Regulation	Module B	Type Examination	Merchant Shipping (Marine Equipment) Regulations	UKAS
	Module F	Product Verification		
	Module G	Unit Verification		
	Module D Module E	Production Quality Assurance Product Quality Assurance		
UK Marine Equipment (MSN)	Part II	Conformity Assessment	MSN 1874	MCA
Supply of Machinery (Safety) Regulations (Machinery Directive*)	Schedule 2, Part 9	Type Examination	Supply of Machinery (Safety) Regulations 2008	UKAS
Personal Protective Equipment (PPE)	Module B	Type Examination	Personal Protective Equipment Regulation (EU) 2016/425 as brought into UK law and amended, and the Personal Protective Equipment (Enforcement) Regulations 2018	UKAS
	Module C2	Conformity to Type		
	Module D	Conformity to Type (Product Control)		
Equipment and Protective Systems Intended for use in Potentially Explosive Atmospheres (UKEX)	Part 1	Type Examination	Equipment and Protective Systems Intended for use in Potentially Explosive Atmospheres Directive 2014/34/EU	UKAS
	Part 2	Production Quality Assurance		
	Part 5	Product Quality Assurance		
	Part 7	Unit Verification		
	Regulation 39(1)(b)(ii)(bb)	Receipt and retention of a Technical File		
Telecommunications Total Metering and Billing Systems (TMBS)		OFCOM Metering and Billing Direction October 2017	Section 45 of UK Communications Act 2003 [with special regard to General conditions of entitlement 11 (July 2003)	UKAS
		Metering and Billing Direction 2015 – Isle of Man	Isle of Man Telecommunications Act 1984 and Communications Commission Metering and Billing Direction 2015	



Accredited Schemes – UK

Scheme title	Assessment Procedure	Legal Instrument	Accreditation By:	
Microgeneration Certification Scheme (MCS)	MCS 005 MCS 010 MCS 011 MCS 012 MCS 017	Facility Production Control	-	UKAS
Pressure Equipment	Module A2 Module B Module C2 Module D Module D1 Module E Module E, Module F Module G Module H Module H1	Type Examination Product Quality Assurance Personnel	The Pressure Equipment (Safety) Regulations 2016	UKAS
Lifts	Schedule 11 Schedule 13 Schedule 14 Schedule 16 Schedule 17 Schedule 18 Schedule 19	Product Quality Assurance	The Lifts Regulations 2016	UKAS
Simple Pressure Vessels	Module B Module C Module C1 Module C2	Product	Simple Pressure Vessels (Safety) Regulations 2016 as amended	UKAS
Outdoor Noise	Schedule 9 Schedule 10 Schedule 11	Product Quality Assurance	Noise Emission in the Environment by Equipment for use Outdoors Regulations 2001	UKAS
Construction Products	System 2+	Product	Construction Products Regulation 2011 as amended	UKAS
Gas Appliances	Module B Module C2 Module D Module E Module F Module G	Product Quality Assurance	Gas Appliances (retained EU law EUR 2016/426) as amended by the Gas Appliances (Enforcement) and Miscellaneous Amendment Regulations 2018, SI 2018 No 389, as amended	UKAS



Accredited Schemes – UK

Scheme title	Assessment Procedure		Legal Instrument	Accreditation By:
Medical Devices Regulation	Annex II	Full Quality Assurance Design Examination	Part II of The Medical Devices Regulations 2002 (as amended)	UKAS Designation by MHRA
	Annex V	Production Quality Assurance		
	Annex VI	Product Quality Assurance		

Accredited Regulatory Schemes – Europe:

(scopes covered available on request)

Scheme title	Assessment Procedure		Legal Instrument	Accreditation By:
Radio Equipment Directive (RED)	Annex III	Type Examination	RED Directive 2014/53/EU	DANAK
	Annex IV	Full Quality Assurance (FQA)		
Electromagnetic Compatibility Directive (EMC)	Annex III	Type Examination	EMC Directive 2014/30/EU	DANAK
Marine Equipment Directive (MED)	Module B	Type Examination	MED 2014/90/EU	DANAK
	Module F	Product Verification		
	Module G	Unit Verification		
	Module D	Production Quality Assurance		
	Module E	Product Quality Assurance		
Machinery Directive (MAC)	Annex IX	Type Examination	2006/42/EC	DANAK
Personal Protective Equipment (PPE)	Module B	Type Examination	Personal Protective Equipment Regulation (EU) 2016/425	DANAK
	Module C2	Conformity to Type		
	Module D	Conformity to Type (Product Control)		

Accredited Regulatory Schemes – Outside of Europe:

Scheme Title	Assessment Procedure		Legal Instrument	Accreditation by:
USA – Telecoms (TCB)	Radio	Grant	USA FCC 47 CFR	UKAS
	EMC		USA FCC 47 CFR Part 15	
Canada – Telecoms (FCB)	Radio	Product	ISED Radio Law	UKAS
Japan (Approval under the UK-Japan exchange of letters on mutual recognition of conformity assessment)	Radio	Type Examination	Article 38-2-2, para 1, item 1 of Japanese Radio Law	UKAS
Telecom Equipment sectoral annex (Mutual Recognition Agreement between the UK & Australia and New Zealand)	Telecom	Type Examination	OJ L 229 of 17/08/1988, OJ L 359 of 29/12/2012 and OJ L 356 of 22/12/2012	UKAS

Non- Regulatory Schemes – International

Scheme Title	Purpose	Specific Standards	Accreditation By:
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Quality Management System	Certification	ISO 9001	UKAS
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Non-Accredited Schemes – UK

Scheme Title	Scheme Type	Purpose	Specific Guides
Production Quality Certification (PQC)	Management System	Manufacture of products under Radio Equipment Regulations (RER) – Annex II.	BABT 340
		Manufacture of components for use in products certified under RER (RER Comp).	
		Manufacture of products under the EMC Regulations (EMC).	
		Manufacture of components for use in products certified under MER (MER Comp).	
Metering and Billing	Management System	Voluntary Certification of a Billing system	Technical Requirements of OFCOM Directive 2017
Communications Systems Attestation	Attestation	Certifies a Communications System, contributing towards compliance with the OFCOM Metering and Billing Direction 2017	BABT 621
Machinery	Attestation	This scheme assists a manufacturer of machinery within the scope of the Supply of Machinery (Safety) Regulations to verify their compliance to the Essential Health and Safety Requirements and issue the required Declaration of Conformity.	BABT 807
		As an option this scheme may additionally address the requirements of the EMC Regulations.	
		This scheme is also available for the Machinery Directive	DK 807

Pressure Equipment Safety Regulations (Recognised Third Party Organisation)

For the Pressure Equipment Safety Regulations, TÜV SÜD BABT are also appointed as a Recognised Third-Party Organisation for the approval of NDT personnel and certification of permanent joining personnel. The publicly available information specific to these schemes is available using the following links:

NDT Personnel	Permanent Joining Personnel
Test and Certification Regulations	Test and Certification Regulations



Certification and Inspection Processes

TÜV SÜD BABT operate 4 standard processes for managing certification and inspection schemes.

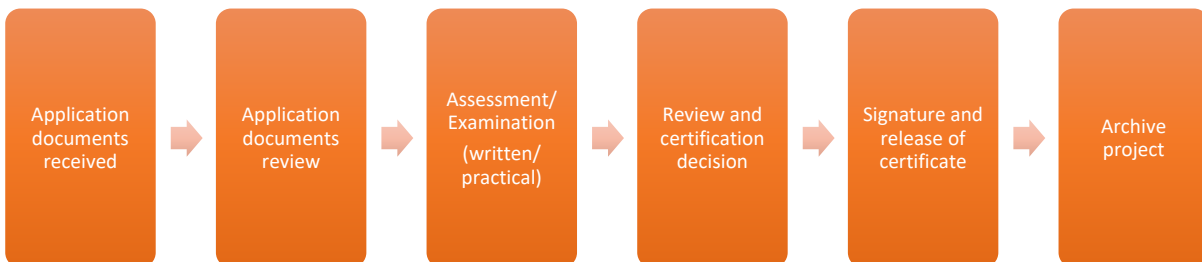
Product Certification Process Flow



Management System Certification Process Flow



Personnel Certification Process Flow



Inspection Process flow



Footnote 1: Includes the drafting of the report and certificate (where applicable)

Footnote 2: Not applicable for Initial inspection or periodic inspection



Granting, Refusing, Renewing, Suspending, Restoring or Withdrawing Certification pertaining to Product or Management System Certification Schemes

TÜV SÜD BABT operate a standard process of certification issued under the scope of a scheme operated by the Certification/Inspection Body.

- Granting – the act of issuing a valid certificate
 - Issuing certificates based on the success validation of the product and or successful assessment of the client facilities under the scope of accreditation.
- Refusing – the act of refusing to issue a certificate
 - Denying the issuance of a certificate due to the assessment not meeting the certification requirements or the scope of certification is not within the Certification/Inspection Body’s competency/ability or for a product type/client who could bring the Certification/Inspection Body into disrepute.
- Renewing – the act of renewing the validity of a certificate
 - Up issuing a certificate after the validation period of the original certificate has expired.
- Suspending – the act of temporarily removing the validity of a certificate subject to certain conditions.
 - Removing the validity of a certificate whilst the holder of the certificate remedies outstanding issues regarding the certification scheme requirements, or the [Testing, Certification, Validation and Verification Regulations](#)
- Restoring – the act of reinstating the validity of a certificate after suspending.
 - Reinstating the validity of a certificate after the holder of the certificate has remedied outstanding issues regarding the certification scheme requirements, or the [Testing, Certification, Validation and Verification Regulations](#)
- Withdrawing – the act of permanently removing the validity of a certificate.
 - Removing the validity of a certificate due to the holder not complying with the certification scheme requirements, or the [Testing, Certification, Validation and Verification Regulations](#)

Maintaining or Expanding or Reducing the Scope of Product or Management System Certification Schemes

TÜV SÜD BABT operate a standard process to encompass ‘state of the art’ knowledge ensuring:

- scheme and industry developments are maintained, expanded or reduced;
- that the Certification/Inspection Body’s technical resource is competent and appointed effectively
- that documents and information within its management system are maintained and current

Use Certification Marks or Logos pertaining to Product or Management System Certification Schemes

TÜV SÜD BABT operate under the General Rules on the use of certification marks found within the [Testing, Certification, Validation and Verification Regulations](#). In general, there are two types:

- Accreditation Mark – a mark of the relevant accreditation body.
- Certification Mark – a mark appropriate to the relevant certification scheme (an Octagon mark).

This document is available on request. (AP014)

Confidentiality and Information Exchange Between TÜV SÜD and its Clients

TÜV SÜD employees are legally bound to ensure that information between parties, obtained or created during its certification activities are kept confidential, and will notify the client in advance of an information it intends to place in the public domain. All other information, except for information that is made publicly accessible by the client shall be considered confidential.

TÜV SÜD BABT operate a standard process for the Control of Records to ensure secure handling of confidential information

TÜV SÜD BABT maintains confidentiality of all clients information while the TÜV SÜD employees are bound to being impartial to the assessments for which they perform in accordance with [Testing, Certification, Validation and Verification Regulations](#)



Handling Complaints and Appeals pertaining to Product or Management System Certification Schemes

Complaints – TÜV SÜD BABT operate a process for handling complaints about the misuse of Certification/Inspection Body Certificates, Marks, Approved/Notified Body numbers or Company names covering all Certification/Inspection Body activities and schemes. A complaint is defined as any statement of dissatisfaction with a service provided by or on behalf of the Certification/Inspection Body(s). This document is available on request (A058).

Appeals – TÜV SÜD BABT operate a process A005 for receiving, evaluating and making decisions on appeals received concerning any services provided by or on behalf of the Certification/Inspection Body(s). Communication regarding an appeal shall be carried out by an appointed and independent Senior Management Representative. Evaluation and decision making regarding an Appeal shall be carried out by an appointed and independent Appeals Panel. The appeals procedure A005 can be provided on request. Appeals shall be received within 21 days of receiving the decision to be appealed against.

All complaints and appeals may be sent to BABT@tuvsud.com or TÜV SÜD BABT Unlimited, Octagon House, Concorde Way, Segensworth North, Fareham, Hampshire, United Kingdom, PO15 5RL.

TÜV SÜD BABT Policy on Impartiality.

TÜV SÜD BABT understands the importance of impartiality in carrying out its certification activities, managing conflict of interest and ensuring the objectivity of its certification activities. This is demonstrated by operating a Committee for Safeguarding Impartiality (known as CABCOM) made up of TÜV SÜD management and elected external experts (bound by the TÜV SÜD Confidentiality and Conflict of Interest Policy) to:

- supervise the behaviour of the Certification/Inspection Body as specified in the Standards under which TÜV SÜD BABT is accredited and to arbitrate on issues related to the application and maintenance of schemes operated.
- assist in developing the policies relating to impartiality of the certification activities of the Certification/Inspection Body.
- counteract any tendency on the part of the Certification/Inspection Body to allow commercial or other considerations to affect the consistent objective provision of certification activities. (Note: this may include a review of financial and information provided by the Certification/Inspection Body to ensure there is no compromise to its impartiality).
- give advice on matters affecting confidence in certification including openness and public perception.

Sources of Test Reports

TÜV SÜD BABT can provide details the general criteria for recognized sources of test reports which may be used as a part of a technical documentation file (TCF) to support client's application for certification. This document is available on request. (BABT 766)

Fees:

TÜV SÜD GmbH finance the Certification/Inspection Body through normalised cost related charges to business areas and regions. These costs relate to all certification activities and are not directly related to the performance of any one Certification/Inspection Body within their responsibility.

TÜV SÜD entities charge customers as they consider appropriate for the services offered, which may include certification through TÜV SÜD BABT Unlimited. The Certification/Inspection Body receive no direct portion of these charges. The fees for service provisions are supplied to clients via quotations based on the complexity of the product concerned.



Contact Details:

Should you wish to contact the Certification/Inspection Body for any further information or find out the status of a certificate or any other general enquiry please contact us at one of the addresses below:

Approved Body: 0168 (UK)

TUV SUD BABT Unlimited
Octagon House
Concorde Way
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Notified Body: 2443 (Denmark)

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